

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**REQUEST FOR FILING NATIONAL PATENT APPLICATION**  
 Under 35 USC 111(a) and Rule 53(b)

(Not for Provisional or PCT cases)

PATENT  
APPLICATION

WITH SIGNED DECLARATION

NONPROVISIONAL

U.S. Commissioner of Patents  
Washington, D.C. 20231

Herewith is the PATENT APPLICATION of  
 Inventor(s): NISHIOKA, Kimihiko et al

(Our Deposit Account No. 03-3975)

Our Order No.	50931	254750
C#		M#
PM254750		MK-9807(A)/A
M#		Client Ref

Title: OPTICAL ELEMENTS (SUCH AS VARI FOCAL  
 LENS COMPONENT, VARI-FOCAL  
 DIFFRACTIVE OPTICAL ELEMENT AND  
 VARIABLE DECLINATION PRISM) AND  
 ELECTRONIC IMAGE PICKUP UNIT USING  
 OPTICAL ELEMENTS

including:

Date: June 9, 1998

1. Specification: 79 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 48 numbered claims
- 3(a) ☒ Drawings: 27 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
4. This is a reissue of Patent No. \_\_\_\_\_
5. ☐ See top first page re prior Provisional, National or International application(s) ("X" box only if info is there and do not complete corresponding item 6 or 7).
6. ☐ **AMEND the specification** please by inserting before the first line: --This is a ☐ Continuation-in-Part ☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 6(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ . - - (M# \_\_\_\_\_ )
- 6(b) ☐ International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_ which designated the U.S. - -
7. ☐ **AMEND the specification** by inserting before the first line: --This application claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_.
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11.

Application No.	Filing Date	Application No.	Filing Date
(1) 151771/97	June 10, 1997	(6)	
(2) 53029/98	March 5, 1998	(7)	
(3) 77042/98	March 25, 1998	(8)	
(4)		(9)	
(5)		(10)	

12. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
 in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

13. ☐ Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.

14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 115/20/365(c) based on the following provisional, non-provisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application
16. ☐ Attached:
17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$790/\$395	\$ 790.00	101/201
19. Total Effective Claims	119	minus 20 =	* 99	x \$22/\$11 =	+ 2,178.00	103/203
20. Independent Claims	34	minus 3 =	* 31	x \$82/\$41 =	+ 2,542.00	102/202
*If answer is zero or less, enter "0"						
21. If <u>any proper</u> multiple dependent claim (ignore improper) is present, add (Leave this line <u>blank</u> if this is a <u>reissue</u> application)				+ \$270/\$135	+ 270.00	104/204
22. TOTAL FILING FEE ENCLOSED =					\$ 5,780.00	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130/\$130	+	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40/\$40	+ 40.00	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No. _____				+ \$130/\$130	+	122
26. TOTAL FEE ENCLOSED =					\$ 5,820.00	

**CHARGE STATEMENT** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP**  
Intellectual Property Group

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**NOTE:** File in duplicate with 2 post card receipts (PAT-103) & attachments